

This brochure supplement provides information about Michael Williams that supplements the Drake & Associates, LLC brochure. You should have received a copy of that brochure. Please contact Michael Williams if you did not receive Drake & Associates, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Michael Williams is also available on the SEC's website at www.adviserinfo.sec.gov.

Drake & Associates, LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

Michael Williams

Personal CRD Number: 4968735

Investment Adviser Representative

Drake & Associates, LLC
20855 Watertown Rd. Suite 210
Waukesha, WI 53186
(414) 943-3865
mike.williams@drakeandassociates.net

UPDATED: 12/08/2014

Item 2: Educational Background and Business Experience

Name: Michael Williams **Born:** 1982

Educational Background and Professional Designations:

Education:

Business Administration Finance, university wisconsin oshkosh - 2005

Business Background:

08/2014 - Present	Investment Adviser Representative Drake & Associates, LLC
03/2014 - Present	Paralegal Oliver and Johnson, P.A.
08/2012 - 03/2014	Branch Manager Associated Bank
02/2005 - 08/2012	Branch Manager wells fargo bank
03/2005 - 07/2006	Sergeant wisconsin army national gurrd
02/2005 - 02/2006	Financial Advisor morgan stanley
01/2004 - 06/2005	Teller Citizens First Credit Union

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Michael Williams is not engaged in any investment-related business or occupation (other than this advisory firm).

Item 5: Additional Compensation

Michael Williams does not receive any economic benefit from any person, company, or organization, other than Drake & Associates, LLC in exchange for providing clients advisory services through Drake & Associates, LLC.

Item 6: Supervision

As a representative of Drake & Associates, LLC, Michael Williams works closely with supervisor Anthony Drake, and all advice provided to clients is reviewed by this supervisor prior to implementation. Anthony Drake can be reached at (414) 409-7226. Michael Williams adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.

Item 7: Requirements For State Registered Advisers

This disclosure is required by Wisconsin state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

- A. Michael Williams has NOT been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
 2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
- B. Michael Williams has NOT been the subject of a bankruptcy petition in the past ten years.